



SS 11/5 9FCI #5  
PATENT  
Customer No. 22,852  
Attorney Docket No. 6502.0357-00  
Sun Ref. No.: P6262

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
William R. Bush, et al. ) Group Art Unit: 2131  
Application No.: 09/976,885 ) Examiner: Not Yet Assigned  
Filed: October 10, 2001 )  
For: METHOD AND APPARATUS FOR A )  
SECURE VIRTUAL MACHINE )

OFFICE OF INITIAL PATENT EXAMINATION  
COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

We enclose a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office ("PTO"). The name of the second inventor was found to be incorrect, and should instead read as follows: **Antony P.C. Ng**

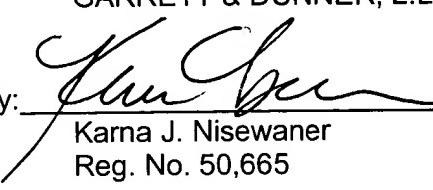
A copy of the Filing Receipt with the requested change in red ink is attached. It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.

Since the name Antony P.C. Ng is correctly spelled on the Declaration and Power of Attorney submitted January 14, 2002, Applicants respectfully submit that the error occurred through the fault of the PTO and no fees should be required. However, should the Office deem otherwise, please charge any required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Karna J. Nisewaner  
Reg. No. 50,665

Dated: October 29, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

JAB hjs

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/976,885	10/10/2001	2131	1032	6502.0357-00	5	29	3

CONFIRMATION NO. 2176

UPDATED FILING RECEIPT



\*OC000000007540262\*

Finnegan, Henderson, Farabow,  
 Garrett & Dunner, L.L.P.  
 1300 I Street, N.W.  
 Washington, DC 20005-3315

Date Mailed: 02/26/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

**Antony** William R. Bush, San Mateo, CA;  
 Anthony P.C. Ng, Singapore, SINGAPORE;  
 Douglas N. Simon, Mountain View, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/294,005 05/30/2001

RECEIVED  
 MAR 1 2002

FINNEGAN, HENDERSON, FARABOW,  
 GARRETT AND DUNNER, LLP

## Foreign Applications

If Required, Foreign Filing License Granted 11/15/2001

Projected Publication Date: 12/05/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Method and apparatus for a secure virtual machine

## Preliminary Class

04/15  
 3-1-02  
 WJS

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).